



Application Note

AN_344

FT51A DFU Sample

Version 1.0

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This document provides a guide for using the FT51A development environment to incorporate DFU (Device Firmware Upgrade) support in firmware.

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1 Introduction

This application note documents the FT51A DFU library and provides an example firmware project for the FT51A and host PC application. The host PC application will communicate with the firmware on the FT51A to update the contents of the FT51A MTP Flash. All communication is via the USB interface.

1.1 Overview

The DFU firmware project and host PC application together demonstrate a reusable method for implementing firmware updates to an FT51A device. The FT51A need only be connected to a host PC via the USB interface.

The DFU firmware is added to the normal functional firmware programmed into the FT51A and is only activated when special commands are sent by the host PC. It can therefore be built-in to any FT51A firmware and used when required.

An example application would be to allow Field Service Engineers to update firmware on devices embedded in industrial machinery, or end users to apply an update to a device based on an FT51A.

1.2 Features

The DFU library has the following features:

- Open source library layered on FT51A USB Library.
- Can be added to existing firmware by adding an interface to the configuration descriptor.
- Ability to download firmware from host PC to device.
- User firmware implements the USB requests. This allows non-standard methods to be implemented to add obfuscation.

1.3 Limitations

The DFU library has the following limitations:

- No manifestation stage. The device cannot support manifestation and cannot therefore process a firmware image before programming.

As with most firmware updates, it is imperative that the firmware download is not interrupted either by power interruption or by interrupting the programming application as the data downloaded immediately overwrites the contents of the MTP Flash rendering the existing contents invalid.

A recovery method is to use the FT51A programmer/debugger module and the "FT51prg.exe" tool to re-load valid code to the MTP Flash. Details on this tool can be found in Application Note AN_289 FT51A Programming Guide. The executable and source code for "FT51prg.exe" are available in the FT51A Software Development Kit.

1.4 Scope

The guide is intended for developers who are creating applications, extending FTDI provided applications or implementing example applications for the FT51A.

In the reference of the FT51A, an "application" refers to firmware that runs on the FT51A; "libraries" are source code provided by FTDI to help user's access specific hardware features of the chip.

The FT51A Tools are currently only available for Microsoft Windows platform and are tested on Windows 7 and Windows 8.1.

2 DFU Overview

The DFU protocol and requirements are documented in the Device Firmware Upgrade 1.1 specification:

http://www.usb.org/developers/docs/devclass_docs/DFU_1.1.pdf

The FT51A implementation is for devices which are capable of downloading and can optionally perform any detach-attach sequence to switch between DFU and run-time modes.

Due to the memory constraints of the FT51A it is not practical to implement manifestation. This would require storing and validating the firmware before programming the MTP Flash.

2.1 DFU Library Overview

The DFU library uses the FT51A USB library and calls the following functions:

- USB_transfer()
- USB_stall_endpoint()

It is contained in a single source code file "ft51_usb_dfu.c" and has a library header "ft51_usb_dfu.h".

The DFU Attributes supported by the library, as described in the bmAttributes field of the DFU Functional Descriptor, are bitWillDetach and bitCanDnload. The bitManifestationTolerant and bitCanUpload features are not supported.

Calls to the library are made by the firmware when class requests are received for the DFU interface or when a bus state change on the USB is detected.

Additionally there is a timer handler which must be called every millisecond to implement the detach timer described in the specification.

The DFU library implements a state machine to provide the functionality required by the DFU specification. A schematic of the state machine is shown in Figure 2.1. Run-time mode states are red and DFU mode states are blue.

The "USB Reset" transitions can be either performed by the host resetting the USB or by the device detaching from the USB. If the bitWillDetach attribute is set then the device must perform detach. If it is not set then the host must reset the USB.

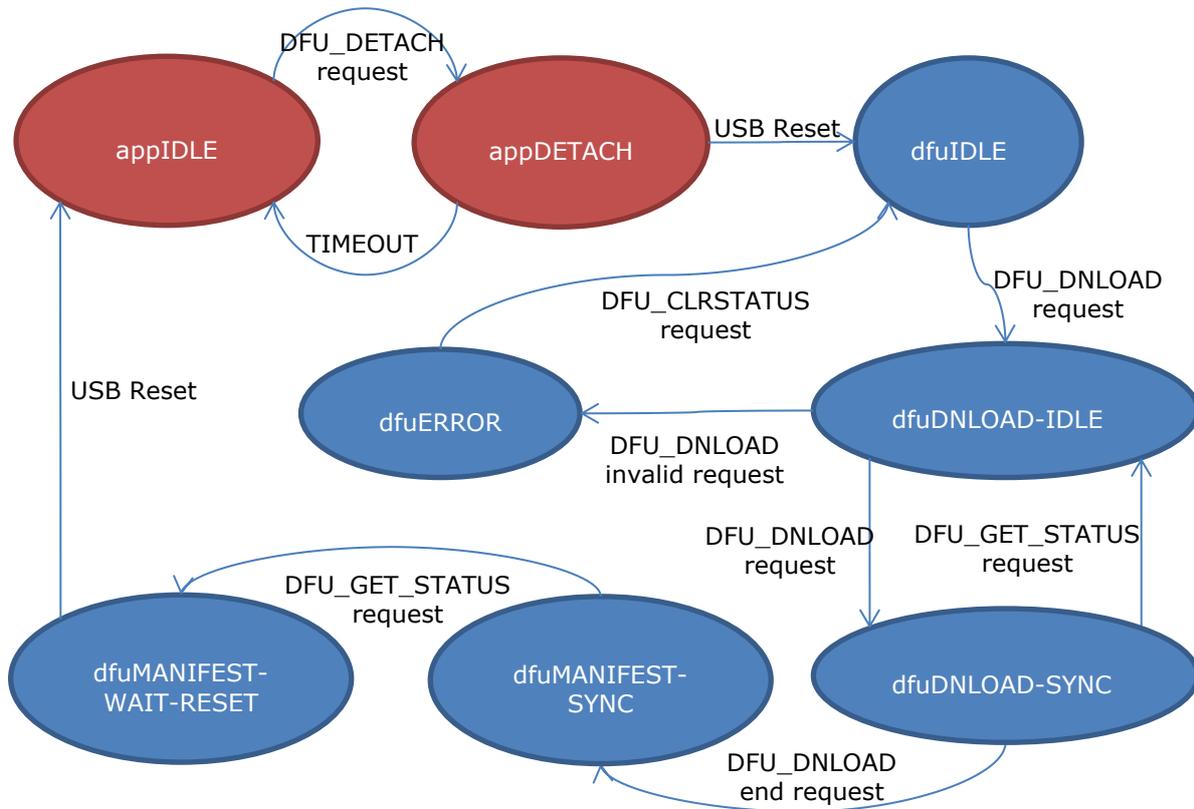


Figure 2.1 DFU States

2.2 Firmware Overview

The firmware is specifically written to allow it to be adapted and easily included in other FT51A projects.

Firmware will initialise and configure the FT51A as normal, setting up the USB device as required. It will however, add some code to the USB standard requests handlers to support the DFU library.

The only libraries required are the FT51A USB library and parts of the FT51A General Config library. If LED indicators are desired then the IOMUX library can be added to configure output pins for LEDs.

2.2.1 Run-Time Mode

When in run-time mode, the firmware will return a configuration descriptor containing the normal functions of the FT51A firmware plus one additional interface descriptor for the DFU. This is the DFU functional descriptor.

The firmware will decode standard and vendor requests as normal, but check for class requests which are addressed to the DFU interface. If these are found then a function in the DFU library will be called. From run-time mode the DFU can check the status and state of the DFU state machine and initiate a DFU_DETACH to transition into DFU mode.

If the `bitWillDetach` attribute is not set the `DFU_DETACH` request will start a timer and continue. If a USB reset is observed before the timer expires then the DFU state machine will move to DFU mode.

If the `bitWillDetach` attribute is set the device will detach from the USB using a call to the USB library. The host will see this as a disconnection and will re-enumerate the device when it reattaches to the USB.

With both methods a function handler for USB reset events will call the DFU library to change from run-time to DFU mode.

2.2.2 DFU Mode

When DFU mode is entered, the normal functions of the firmware are not available and the firmware will return different device and configuration descriptors. This will have a single interface describing the DFU mode. The device will be re-enumerated by the host and the new device and configuration descriptors read.

Standard USB requests will be handled as normal, but class requests to the DFU interface (this may be a different interface number in DFU mode from the run-time mode) will be passed to the DFU library.

If the `bitWillDetach` attribute is not set the device will rely on the host performing a USB reset when programming is finished, otherwise the device will detach from the USB and force the host to re-enumerate the device.

Again, the function handler for USB reset events must also call the DFU library to change from DFU back to run-time mode and resetting the FT51A to run the newly programmed firmware.

2.2.3 FT51A Libraries

The DFU firmware uses the FT51A USB library, DFU library, general config library and the IOMUX library. The IOMUX library is not used in the example code but is included to allow further functionality to be added.

2.2.4 WinUSB Drivers

The firmware will use WCID (Windows Compatible ID) to tell a Microsoft Windows operating system that it requires WinUSB to be installed for the DFU interface. This is achieved by the firmware responding to a Microsoft defined string descriptor to identify the device as supporting WCID and providing the host PC with a vendor request code for the next stage of WCID.

The second stage tells the host PC which drivers to load for the device by responding to a set of vendor requests with information required.

When this is successfully completed the DFU interface is accessible to host PC applications using the WinUSB API. It is also compatible with libusb for Windows.

Please note that the WinUSB drivers will need to be installed when the device is first connected. They will also need to be installed when the device changes from run-time mode to DFU mode. Each time the driver is loaded it may go to Windows Update to check for newer drivers.

2.3 Host PC Application Overview

The host PC application recommended is `dfu-util`. This can be obtained from <http://dfu-util.sourceforge.net/>

It uses the `libusb` library to communicate with the device *via* Microsoft WinUSB drivers.

The `dfu-util` program is a command line application that programs a binary file onto the device. The FT51A Eclipse plugin has a Post Build step to produce a `“.bin”` binary file from the IHX (Intel HeX file) output file using the `makebin` utility supplied by SDCC.

The format of the dfu-util command line is typically as follows:

```
dfu-util -D filename.bin
```

Refer to the documentation for dfu-util for further options.

The first time dfu-util switches the device from run-time to DFU mode it may timeout waiting for Windows to install the WinUSB driver. Re-running dfu-util when this process is complete will re-commence the firmware update correctly.

3 DFU Firmware

The firmware included in the example code demonstrates implementing run-time mode descriptors, DFU mode descriptors, switching from run-time to DFU mode and updating firmware. It has no run-time features; however, these can be added by extending the run-time configuration descriptor and adding code for the features.

The control endpoint max packet size is defined in the header of the firmware code for convenience along with the Product IDs (PIDs) used in the example. The setting of `bMaxPacketSize0` in the device descriptor MUST match the size of the control endpoint max packet size set in the `"ep_size"` member of the `USB_ctx` structure when the USB library is initialised.

The following code is used to set the packet sizes for the control endpoint for both the device descriptor and USB initialisation.

```
// USB Endpoint Zero packet size (both must match)
#define USB_CONTROL_EP_MAX_PACKET_SIZE 8
#define USB_CONTROL_EP_SIZE USB_EP_SIZE_8
```

The DFU library does not calculate addresses from the block numbers passed in the `DFU_DNLOAD` request. The application specifies both the size of the programming block and calculates the address. This increases the flexibility of the library and allows the application to set block sizes and even how addresses are calculated.

The maximum block size for the DFU library is 64 bytes as defined in `DFU_MAX_BLOCK_SIZE` in `"ft51_usb_dfu.h"`. This can be changed to a smaller value by modifying the definition of `DFU_BLOCK_SIZE`.

```
#define DFU_BLOCK_SIZE DFU_MAX_BLOCK_SIZE
```

3.1 USB Descriptors

The DFU firmware stores two sets of device descriptors and configuration descriptors. It stores a single table of string descriptors as the strings for run-time and DFU modes can be selected by the descriptors as needed from the same table.

The USB class, subclass and protocols along with other general USB definitions are found in the file `"ft51_usb.h"` library include file.

3.1.1 Run-Time Descriptors

The first set of descriptors is the run-time set. The device descriptor contains the VID and PID for the run-time function.

```
USB_device_descriptor device_descriptor_runtime =  
{  
    .bLength = 0x12,  
    .bDescriptorType = 0x01,  
    .bcdUSB = USB_BCD_VERSION_2_0,  
    .bDeviceClass = USB_CLASS_DEVICE,  
    .bDeviceSubClass = USB_SUBCLASS_DEVICE,  
    .bDeviceProtocol = USB_PROTOCOL_DEVICE,  
    .bMaxPacketSize0 = USB_CONTROL_EP_MAX_PACKET_SIZE,  
    .idVendor = USB_VID_FTDI, // 0x0403 (FTDI)  
    .idProduct = DFU_USB_PID_RUNTIME, // 0x0fed  
    .bcdDevice = 0x0101,  
    .iManufacturer = 0x01, // String 1  
    .iProduct = 0x02, // String 2  
    .iSerialNumber = 0x03, // String 3  
    .bNumConfigurations = 0x01  
};
```

The configuration descriptor would normally contain interface descriptors and endpoint descriptors for the run-time function. These are not implemented in this example.

The last interface descriptor, and the only one in this configuration descriptor, is for a DFU interface that implements no endpoints. All DFU communication is through the control endpoints to the DFU interface.

The USB class of Application Specific allows the subclass to specify that it is a Device Firmware Update (DFU) and the protocol indicates that this is Run-Time mode.

```
// Structure containing layout of configuration descriptor
struct config_descriptor_runtime
{
    USB_configuration_descriptor configuration;
    USB_interface_descriptor interface;
    USB_dfu_functional_descriptor functional;
};

struct config_descriptor_runtime config_descriptor_runtime =
{
    .configuration.bLength = 0x09,
    .configuration.bDescriptorType = USB_DESCRIPTOR_TYPE_CONFIGURATION,
    .configuration.wTotalLength = sizeof(struct config_descriptor_runtime),
    .configuration.bNumInterfaces = 0x01,
    .configuration.bConfigurationValue = 0x01,
    .configuration.iConfiguration = 0x00,
    .configuration.bmAttributes = USB_CONFIG_BMATTRIBUTES_VALUE,
    .configuration.bMaxPower = 0xFA, // 500 mA

    // ---- INTERFACE DESCRIPTOR for DFU Interface ----
    .interface.bLength = 0x09,
    .interface.bDescriptorType = USB_DESCRIPTOR_TYPE_INTERFACE,
    .interface.bInterfaceNumber = DFU_USB_INTERFACE_RUNTIME,
    .interface.bAlternateSetting = 0x00,
    .interface.bNumEndpoints = 0x00,
    .interface.bInterfaceClass = USB_CLASS_APPLICATION, // Application Specific Class
    .interface.bInterfaceSubClass = USB_SUBCLASS_DFU, // Device Firmware Update
    .interface.bInterfaceProtocol = USB_PROTOCOL_DFU_RUNTIME, // Runtime Protocol
    .interface.iInterface = 0x05, // String 5

    // ---- FUNCTIONAL DESCRIPTOR for DFU Interface ----
    .functional.bLength = 0x09,
    .functional.bDescriptorType = USB_DESCRIPTOR_TYPE_DFU_FUNCTIONAL,
    .functional.bmAttributes = USB_DFU_BMATTRIBUTES_CANDNLOAD, // bitCanDnload
    .functional.wDetachTimeout = DFU_TIMEOUT, // suggest 8192ms
    .functional.wTransferSize = DFU_BLOCK_SIZE, // typically 64 bytes
    .functional.bcdDfuVersion = USB_BCD_VERSION_DFU_1_1, // DFU Version 1.1
};
```

The DFU functional descriptor contains a field called `bmAttributes`. This describes the sections of the DFU specification that are supported by the implementation.

The DFU library supports firmware downloading and device detach-attach. It does not support uploading or manifestation. Therefore the `bmAttributes` mask needs the "bitCanDnload" bit set, and "bitWillDetach" can be set or clear. It is not set in this example for clarity.

The "bitCanUpload" and "bitManifestationTolerant" must be clear.

3.1.2 DFU Descriptors

The device descriptor contains the VID and PID for the DFU function. This may or may not be the same as the run-time VID and PID.

```

USB_device_descriptor device_descriptor_dfumode =
{
    .bLength = 0x12,
    .bDescriptorType = USB_DESCRIPTOR_TYPE_DEVICE,
    .bcdUSB = USB_BCD_VERSION_2_0,
    .bDeviceClass = USB_CLASS_DEVICE,
    .bDeviceSubClass = USB_SUBCLASS_DEVICE,
    .bDeviceProtocol = USB_PROTOCOL_DEVICE,
    .bMaxPacketSize0 = USB_CONTROL_EP_MAX_PACKET_SIZE,
    .idVendor = USB_VID_FTDI, // 0x0403 (FTDI)
    .idProduct = DFU_USB_PID_DFUMODE, // 0x0fee
    .bcdDevice = 0x0101,
    .iManufacturer = 0x01,
    .iProduct = 0x04,
    .iSerialNumber = 0x03,
    .bNumConfigurations = 0x01
};

```

The configuration descriptor for DFU will contain only an interface descriptor and a functional descriptor for the DFU interface.

The USB class, subclass and protocol indicate that this device is now in DFU mode.

```

// Structure containing layout of configuration descriptor
struct config_descriptor_dfumode
{
    USB_configuration_descriptor configuration;
    USB_interface_descriptor interface;
    USB_dfu_functional_descriptor functional;
};

struct config_descriptor_dfumode config_descriptor_dfumode =
{
    .configuration.bLength = 0x09,
    .configuration.bDescriptorType = USB_DESCRIPTOR_TYPE_CONFIGURATION,
    .configuration.wTotalLength = sizeof(struct config_descriptor_dfumode),
    .configuration.bNumInterfaces = 0x01,
    .configuration.bConfigurationValue = 0x01,
    .configuration.iConfiguration = 0x00,
    .configuration.bmAttributes = USB_CONFIG_BMATTRIBUTES_VALUE,
    .configuration.bMaxPower = 0xFA, // 500 mA

    // ---- INTERFACE DESCRIPTOR for DFU Interface ----
    .interface.bLength = 0x09,
    .interface.bDescriptorType = USB_DESCRIPTOR_TYPE_INTERFACE,
    .interface.bInterfaceNumber = DFU_USB_INTERFACE_DFUMODE,
    .interface.bAlternateSetting = 0x00,
    .interface.bNumEndpoints = 0x00,
    .interface.bInterfaceClass = USB_CLASS_APPLICATION, // Application Specific Class
    .interface.bInterfaceSubClass = USB_SUBCLASS_DFU, // Device Firmware Update
    .interface.bInterfaceProtocol = USB_PROTOCOL_DFU_DFUMODE, // Runtime Protocol
    .interface.iInterface = 0x05, // String 5

    // ---- FUNCTIONAL DESCRIPTOR for DFU Interface ----
    .functional.bLength = 0x09,
    .functional.bDescriptorType = USB_DESCRIPTOR_TYPE_DFU_FUNCTIONAL,
    .functional.bmAttributes = USB_DFU_BMATTRIBUTES_CANDNLOAD, // bitCanDnload
    .functional.wDetachTimeOut = DFU_TIMEOUT, // suggest 8192ms
    .functional.wTransferSize = DFU_BLOCK_SIZE, // typically 64 bytes
    .functional.bcdDfuVersion = USB_BCD_VERSION_DFU_1_1,
};

```

The same `bmAttributes` mask must appear for the DFU functional descriptor in both run-time and DFU modes.

3.1.3 Descriptor Selection

The standard request handler for `GET_DESCRIPTOR` requests needs to select the run time or DFU mode descriptors. The firmware is responsible for ensuring the correct descriptors are returned to the host PC.

Determining if the firmware is in run-time or DFU mode is achieved by calling the `dfu_is_runtime()` function from the DFU library.

A non-zero response will select the run-time mode descriptors and a zero response, the DFU mode descriptors.

```
FT51_STATUS standard_req_get_descriptor(USB_device_request *req)
{
    uint8_t    *src = NULL;
    uint16_t   length = req->wLength;
    uint8_t    hValue = req->wValue >> 8;
    uint8_t    lValue = req->wValue & 0x00ff;
    uint8_t    i, slen;

    switch (hValue)
    {
    case USB_DESCRIPTOR_TYPE_DEVICE:

        if (dfu_is_runtime())
        {
            src = (char *)&device_descriptor_runtime;
        }
        else
        {
            src = (char *)&device_descriptor_dfumode;
        }
        if (length > sizeof(USB_device_descriptor)) // too many bytes requested
            length = sizeof(USB_device_descriptor); // Entire structure.
        break;

    case USB_DESCRIPTOR_TYPE_CONFIGURATION:

        if (dfu_is_runtime())
        {
            src = (char *)&config_descriptor_runtime;
            if (length > sizeof(config_descriptor_runtime)) // too many bytes requested
                length = sizeof(config_descriptor_runtime); // Entire structure.
        }
        else
        {
            src = (char *)&config_descriptor_dfumode;
            if (length > sizeof(config_descriptor_dfumode)) // too many bytes requested
                length = sizeof(config_descriptor_dfumode); // Entire structure.
        }
        break;
    }
```

The FT51A USB library will return the structure pointed to by the `standard_req_get_descriptor()` function.

Note that string descriptor selection is not shown in this code sample. It does not depend on the selection of run-time or DFU modes.

3.2 USB Class Requests

The firmware is responsible for handling USB class requests. It must determine if the firmware is in run-time or DFU mode and whether a request has been directed to the DFU interface. This must not interfere with other class requests that may be decoded in the firmware.

The first check is that the class request is aimed at an interface:

```
FT51_STATUS class_req_cb(USB_device_request *req)
{
    FT51_STATUS      status = FT51_FAILED;
    uint8_t          interface = LSB(req->wIndex) & 0x0F;

    // For DFU requests ensure the recipient is an interface...
    if ((req->bmRequestType & USB_BMREQUESTTYPE_RECIPIENT_MASK) ==
        USB_BMREQUESTTYPE_RECIPIENT_INTERFACE)
    {
```

If this is correct then the firmware must check if it is in run-time or DFU mode before checking the interface number. The interface number for the DFU mode may differ from that of the run-time mode.

```
    if (dfu_is_runtime())
    {
        if ((interface == DFU_USB_INTERFACE_RUNTIME))
        {
            switch (req->bRequest)
            {
                case USB_CLASS_REQUEST_DETACH:
                    dfu_class_req_detach(req->wValue);
                    // Only uncomment if bitWillDetach is set in bmAttributes
                    //usb_detach_attach();
                    status = FT51_OK;
                    break;
                case USB_CLASS_REQUEST_GETSTATUS:
                    dfu_class_req_getstatus();
                    status = FT51_OK;
                    break;
                case USB_CLASS_REQUEST_GETSTATE:
                    dfu_class_req_getstate();
                    status = FT51_OK;
                    break;
            }
        }
    }
}
```

For run-time mode, only the DFU_DETACH, DFU_GETSTATUS and DFU_GETSTATE requests are valid. All other requests will fail and stall the control endpoint.

The example shows that the DFU_DETACH request will not perform a detach as "bitWillDetach" is not set in the DFU functional descriptor.

If it is not in run-time mode then a different set of requests are valid.

```
else
{
    if (interface == DFU_USB_INTERFACE_DFUMODE)
    {
        switch (req->bRequest)
        {
            case USB_CLASS_REQUEST_DNLOAD:
                dfu_class_req_download(req->wValue * DFU_MAX_BLOCK_SIZE, req->wLength);
                status = FT51_OK;
                break;
            case USB_CLASS_REQUEST_GETSTATUS:
                dfu_class_req_getstatus();
                if (dfu_is_wait_reset())
                {
                    // Only uncomment if bitWillDetach is set in bmAttributes
                    //usb_detach_attach();
                }
                status = FT51_OK;
                break;
            case USB_CLASS_REQUEST_GETSTATE:
                dfu_class_req_getstate();
                status = FT51_OK;
                break;
            case USB_CLASS_REQUEST_CLRSTATUS:
                dfu_class_req_clrstatus();
                status = FT51_OK;
                break;
            case USB_CLASS_REQUEST_ABORT:
                dfu_class_req_abort();
                status = FT51_OK;
                break;
            default:
            case USB_CLASS_REQUEST_UPLOAD:
                // Unknown or unsupported request.
                break;
        }
    }
}
return status;
}
```

In this mode, the DFU_DNLOAD, DFU_GETSTATUS, DFU_CLRSTATUS, DFU_GETSTATE and DFU_ABORT requests are valid. DFU_UPLOAD is specifically checked for and disallowed although ignoring it would have the same effect.

Again the device will not perform any detach as "bitWillDetach" is not set in the DFU functional descriptor. The DFU_GETSTATUS request is the trigger for a detach-attach sequence when it is set.

3.3 USB Reset Handler

Transitions from the appDETACH state to the dfuIDLE state and from dfuMANIFEST-WAIT-RESET state to appIDLE are controlled by the USB reset handler function. This is set by the "reset" member of the USB_ctx structure when the USB library is initialised.

When the reset occurs the dfu_reset() function should be called. If the new firmware which has been downloaded is to be run then the function will return a non-zero value. If no further action is required then it will return zero.

```
void reset_cb(uint8_t status)
{
    (void) status;

    USB_set_state(DEFAULT);

    if (dfu_reset())
    {
        device_revert();
    }
}
```

In this way, when the dfuMANIFEST-WAIT-RESET state is reached the application will wait until a USB bus reset from the host (or a disconnect event) before loading and running the new application from MTP Flash.

A reset occurring when in appDETACH state will move the DFU state to dfuIDLE. This would be noticed the next time the firmware calls dfu_is_runtime().

3.4 Timer

The DFU needs a millisecond timer to accurately return to the appIDLE state from the appDETACH state. The dfu_timer() function in the DFU library should be called every millisecond to enable this.

```
void detach_interrupt(const uint8_t flags)
{
    (void)flags; // Flags not currently used

    // The DFU detach timer must be called once per millisecond
    dfu_timer();
    // Reload the timer
    TH0 = MSB(MICROSECONDS_TO_TIMER_TICKS(1000));
    TL0 = LSB(MICROSECONDS_TO_TIMER_TICKS(1000));
}

void detach_timer_initialise(void)
{
    // Register our own handler for interrupts from Timer 0
    interrupts_register(detach_interrupt, interrupts_timer0);

    // Timer0 is controlled by TMOD bits 0 to 3, and TCON bits 4 to 5.
    TMOD &= 0xF0; // Clear Timer0 bits
    TMOD |= 0x01; // Put Timer0 in mode 1 (16 bit)
    // Set the count-up value so that it rolls over to 0 after 1 millisecond.
    TH0 = MSB(MICROSECONDS_TO_TIMER_TICKS(1000));
    TL0 = LSB(MICROSECONDS_TO_TIMER_TICKS(1000));
    TCON &= 0xCF; // Clear Timer0's Overflow and Run flags
    TCON |= 0x10; // Start Timer0 (set its Run flag)
}
```

3.5 Device Detach-Attach

A function is provided that will detach and then reattach the device from the USB. This is only required if "bitWillDetach" is set in the DFU functional descriptor.

```
void usb_detach_attach(void)
{
    // Short delay
    ms_timer = 10; while (ms_timer > 0);
    // Disable USB device to force an reset
    USB_finalise();
    ms_timer = 10; while (ms_timer > 0);
    // Start the USB device.
    usb_setup();
}
```

4 WCID Selection

The Windows Compatible ID (WCID) method is used to select the WinUSB driver for the DFU interface.

4.1 WCID String Descriptor

The first step is to respond to a USB String Descriptor request for string number 0xEE with an application specific vendor request code to use for the rest of the WCID process.

This firmware defines the vendor request code to be 0xf1. This can be any value from 0x00 to 0xff.

```
#define WCID_VENDOR_REQUEST_CODE    0xF1
```

A macro is defined in ft51_usb.h to craft a Microsoft WCID string descriptor from the required vendor request code.

```
_code uint8_t wcid_string_descriptor[USB_MICROSOFT_WCID_STRING_LENGTH] = {  
    USB_MICROSOFT_WCID_STRING(WCID_VENDOR_REQUEST_CODE)  
};
```

When responding to a GET_DESCRIPTOR request for a string the special case is added for the Microsoft WCID string descriptor.

```
case USB_DESCRIPTOR_TYPE_STRING:  
  
    // Special case: WCID descriptor  
    if (lValue == USB_MICROSOFT_WCID_STRING_DESCRIPTOR)  
    {  
        src = (uint8_t *)wcid_string_descriptor;  
        length = sizeof(wcid_string_descriptor);  
        break;  
    }
```

After this string descriptor check has been performed then the normal string descriptors can be processed and returned.

4.2 WCID Vendor Requests

Once Windows has determined that a device supports WCID then it will issue vendor requests to get further information. This information determines which driver is installed and what GUIDs can be assigned to an interface to identify it to applications.

This firmware will request the WinUSB driver and provide a GUID for a device interface.

Once the vendor request is confirmed as the correct vendor request code then the firmware will respond with a compatible ID or a device GUID.

```
if (req->bRequest == WCID_VENDOR_REQUEST_CODE)  
{  
    if (req->bmRequestType & USB_BMREQUESTTYPE_DIR_DEV_TO_HOST)  
    {  
        switch (req->wIndex)  
        {  
            case USB_MICROSOFT_WCID_FEATURE_WINDEX_COMPAT_ID:  
                if (length > sizeof(wcid_feature_runtime)) // too many bytes requested  
                    length = sizeof(wcid_feature_runtime); // Entire structure.  
                // Return a compatible ID feature descriptor.  
                if (dfu_is_runtime())
```

```
    {
        USB_transfer(USB_EP_0, USB_DIR_IN,
                    (uint8_t *) &wcid_feature_runtime, length);
        status = FT51_OK;
    }
    else
    {
        USB_transfer(USB_EP_0, USB_DIR_IN,
                    (uint8_t *) &wcid_feature_dfumode, length);
        status = FT51_OK;
    }
    break;
case USB_MICROSOFT_WCID_FEATURE_WINDEX_DEVICE_GUID:
    if (length > sizeof(wcid_device_guid_dfu)) // too many bytes requested
        length = sizeof(wcid_device_guid_dfu); // Entire structure.
    // Return a compatible ID feature descriptor.
    if (((dfu_is_runtime()) && (req->wValue == DFU_USB_INTERFACE_RUNTIME))
        || ((!dfu_is_runtime()) && (req->wValue == DFU_USB_INTERFACE_DFUMODE)))
    {
        USB_transfer(USB_EP_0, USB_DIR_IN,
                    (uint8_t *) &wcid_device_guid_dfu, length);
        status = FT51_OK;
    }
    break;
}
```

Run-time mode and DFU mode compatible IDs are required since the interface number of the DFU interface may differ between the two modes.

The GUID defined in this application for the FT51A is "{1eaaaa95-1bd6-49c2-b4ae-286583f61227}".

5 Possible Improvements

The lack of a manifestation stage could allow unwanted, unauthorised or unverified firmware to be programmed on the device.

If required then some additional checking can be done to protect the device.

5.1 Obfuscating DFU Commands

A simple method would be to change the DFU requests so that they did not match the specification. The host PC application would also change to match this.

Changes could be as simple as changing the values for bRequest in the SETUP token or adding additional steps into the DFU state machine.

A host PC application could conceivably issue disguised or undocumented commands to the FT51A to enable the DFU mode without the DFU interface appearing in the run-time mode configuration descriptor.

Note: A USB analyser can be used to reverse engineer these changes.

5.2 Adding Manifestation Checks

A manifestation phase could be implemented by downloading the firmware twice. The first pass could check the validity and checksum of the download and the second pass program the firmware into the MTP Flash.

An alternative method, which would provide a non-secure manifestation check, would be to program the MTP Flash with code and at the same time validate it. If the validation does not succeed then the Shadow RAM could be committed back to the MTP Flash to overwrite the downloaded firmware. This would not provide a secure solution to validating firmware.

A simpler method would be to implement manifestation in blocks. This could be in the form of some encryption or validation checksum. The blocks would have to be smaller than the available __xdata area on the FT51A.

All these methods add to the size of the code required to implement DFU on the FT51A.

5.3 Recovery

As implemented, a failed download may have programmed some MTP Flash memory but not completed the whole program. In this case the firmware could "commit" the Shadow RAM contents back to MTP Flash rather than rely on the host PC application trying again. It could result in corrupt firmware on the device if the device is power cycled with the MTP Flash in an inconsistent state.

5.4 Security

Some firmware could be secured using the TOP_SECURITY_LEVEL register. This can prevent write access to certain parts of the MTP Flash. It could therefore be manipulated to prevent access to portions of code while allowing other parts to be modified.

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Appendix A – References

Document References

FTDI MCU web page: <http://www.ftdichip.com/MCU.html>

SDCC web page: <http://sdcc.sourceforge.net>

Eclipse CDT web page: <http://www.eclipse.org/cdt>

GnuWin32 make web page: <http://gnuwin32.sourceforge.net/packages/make.htm>

GnuWin32 coreutils web page: <http://gnuwin32.sourceforge.net/packages/coreutils.htm>

Eclipse SDCC web page: <http://eclipse-sdcc.sourceforge.net>

Hex2Bin web page: <http://hex2bin.sourceforge.net>

MinGW web page: <http://www.mingw.org>

GDB online documentation web page: <https://sourceware.org/gdb/onlinedocs/gdb>

USB Test and Measurement Class specification:
http://www.usb.org/developers/docs/devclass_docs/USBTMC_1_006a.zip

USB Device Firmware Update Class specification:
http://www.usb.org/developers/docs/devclass_docs/DFU_1.1.pdf

Acronyms and Abbreviations

Terms	Description
DFU	Device Firmware Upgrade
MTP Flash	Multiple Time Program – non-volatile memory used to store program code on the FT51A.
SDCC	Small Device C Compiler
USB	Universal Serial Bus
USB-IF	USB Implementers Forum

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Appendix C – Revision History

Document Title: AN_344 FT51 DFU Sample
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Clearance No.: FTDI# 481
Product Page: <http://www.ftdichip.com/FTPProducts.htm>
Document Feedback: [Send Feedback](#)

Revision	Changes	Date
1.0	Initial Release	2015-12-21